



ZUU Digital Financial Services Limited
資 遇 數 字 金 融 服 務 有 限 公 司

MPF Client Confirmation Form

MPF CLIENT CONFIRMATION FORM 強積金客戶確認書

Note 注意：

- This Confirmation form is applicable for conducting regulated activities under the Guidelines on Conduct Requirements for Registered Intermediaries issued by the MPFA ("MPFA Guidelines"). 本確認書適用於從事積金局《註冊中介人操守要求指引》(「積金局指引」)所規定之受規管活動。
- Customer to complete in BLOCK LETTERS and tick ✓ the appropriate boxes. 請客戶用正楷填寫，並於適當的方格內加上「✓」號。

A. General Information 一般資料	
MPF Principal Intermediary 強積金主事中介人：ZUU Digital Financial Services Limited 資遇數字金融服務有限公司	
MPF Principal Intermediary Code 強積金主事中介人編號：IC000901	
Address: Room 1309, Capital Centre, 151 Gloucester Road, Wanchai, Hong Kong	地址：香港灣仔告士打道 151 號資本中心 1309 室
General Enquiries: 2111-8489	查詢電話：2111-8489
<p>ZUU will not charge you any direct fees for the MPF services to be provided but will be remunerated for our services by the receipt of commission paid by the MPF registered scheme providers. Your agreement to proceed with this application shall constitute your consent to the receipt of commission by ZUU. The benefits receivable may be different among different MPF scheme providers.</p> <p>資遇不會就提供的強積金服務向您直接收取費用，惟會獲得由強積金註冊計劃供應商所提供的佣金，作為我們所提供服務的酬金。閣下同意進行是項申請，即構成閣下同意資遇收取佣金，而所得到的報酬將按不同的強積金註冊計劃供應商而有所不同。</p>	

B. Client information 客戶資料			
Type of Regulated Activities 所涉及之受規管活動			
Individual Client 個人客戶： <input type="checkbox"/> Personal Account Set-up 開立個人帳戶 <input type="checkbox"/> Self-employed Person Account Set-up 開立自僱人仕帳戶 <input type="checkbox"/> Request for Fund Transfer 資金轉移 <input type="checkbox"/> ECA Transfer 僱員自選安排 <input type="checkbox"/> Voluntary Contribution Set-up 開立自願性供款帳戶 <input type="checkbox"/> Others 其他_____			
Employer Client 僱主客戶： <input type="checkbox"/> New Account Set-up 開立帳戶 <input type="checkbox"/> Scheme Asset Transfer 計劃轉移 <input type="checkbox"/> Others 其他_____			
Name of Individual Client 客戶姓名		HKID no. /Business Registration no. (If applicable) 身份證號碼/商業登記證號碼 (如適用)	Level of Education of Client (including representative of employer) 教育程度 (包括僱主代表)
<input type="checkbox"/> Mr 先生 <input type="checkbox"/> Miss 小姐 <input type="checkbox"/> Mrs 太太 <input type="checkbox"/> Ms 女士			<input type="checkbox"/> Primary or below 小學或以下學歷 <input type="checkbox"/> Above primary 小學以上學歷
Name of Employer 僱主名稱		Name of Representative of Employer 僱主代表姓名	

C. Clients with special needs 需要特別照顧的客戶
<p>According to MPFA Guidelines, a client with special need (A person who may not be, able to fully understand the type of information to be provided/discussed or make a key decision on their own) may include a client who is illiterate, with low level (primary level or below) of education, visually or otherwise impaired in a manner that affects his/her ability to make the relevant key decision independently.</p> <p>根據積金局指引，需要特別照顧的客戶（即不能完全明白所提供及討論的及不能作出重要決定的人士）可包括，有語文困難、低學歷（小學程度或以下）、有視力或其他肢體受損的客戶，而該等情況影響其獨立地作出強積金相關的重要決定的能力。</p> <p><input type="checkbox"/> Not applicable. I am not a client with special needs. 不適用，本人並不是需要特別照顧的客戶。 (Proceed to Section D. 下往D部。)</p> <p>A registered intermediary should provide extra care of, and support for, clients (including representatives of employers) with special needs during the sales and marketing process relating to the making of a key decision. A key decision for this purpose refers to one of the following decisions:</p> <p>(a) choosing a particular constituent fund; (b) making a transfer that would involve a transfer out of a guaranteed fund; (c) making an early withdrawal of accrued benefits from the MPF System; or (d) making how much voluntary contributions into a particular registered scheme or a particular constituent fund.</p> <p>註冊中介人如遇到需要特別照顧的客戶(包括僱主代表)，在進行與作出重要決定有關的銷售或推銷程序時，需給予額外的照顧及支援。重要決定是指以下任何一項決定：</p> <p>(a) 選擇某一特定的成分基金； (b) 因轉移而涉及從現有強積金賬戶轉出保證基金； (c) 從強積金制度提早提出累算權益；或 (d) 向某一特定的註冊計劃或某一特定的成分基金作出多少自願性供款。</p> <p><input type="checkbox"/> Not applicable, activities do not involve any key decision as described above. 不適用，活動不涉及上述的重要決定。</p>



C. Clients with special needs 需要特別照顧的客戶 (Cont'd 接續)

As a customer with special needs, I prefer the following option to witness the relevant sales process and constituent fund selection process (referred as the "Sales Process"):

作為需要特別照顧的客戶，本人於下列兩項中選擇其一以見證是次銷售及選擇成分基金過程（下稱「銷售過程」）：

- ☐ To be accompanied by a companion to witness the Sales Process. 本人攜同同伴見證銷售過程。

Full name of witness
見證人姓名

HKID/Passport no. of witness
見證人身份證/護照號碼

Signature of witness
見證人簽署

Date
日期

- ☐ To have an additional member of staff to witness the Sales Process. 本人要求提供多一名員工見證銷售過程。

Full name of staff
員工姓名

Staff number
員工號碼

Signature of staff
員工簽署

Date
日期

- ☐ I do not want any one else to accompany me or witness the sale process and, therefore, do not choose either of the above option.
本人不要任何其他人士陪同或見證銷售過程，故不選擇上述任何一項。

Client Signature 客戶簽名

D. Transferring out of guaranteed funds 從現有強積金賬戶轉出保證基金

- ☐ I have been warned against and I understand the risk that transfer-out from the guaranteed fund may result in the loss of the guarantee (either a loss which I may incur or, where I am a representative of an employer, the loss which employees of the employer may incur as the result of the transfer). I have also been advised to either check the offering document or consult the relevant trustee for details for the terms of the guarantee and take into account the said risk before transferring out of that fund.

本人已獲警告且本人理解從現有強積金賬戶轉出保證基金涉及風險，可能會導致損失保證（有關轉出可導致是本人自己遭受損失，或如本人是僱主代表，則是該僱主旗下僱員遭受損失）。本人亦已獲得建議，於從該基金中轉出保證基金之前，要查閱發售文件或諮詢有關受託人以瞭解保證條款之詳情並且考慮到上述風險。

(Proceed to Section E. 下往 E 部。)

E. Suitability Assessment 適合性評估

According to The MPFA Guidelines, suitability assessment is required if the sales and marketing process involves one or more of the following circumstances:

- (a) extending an invitation or inducement to a specific client that involves the choice of a particular constituent fund;
(b) giving regulated advice to a specific client that involves the choice of a particular constituent fund;
(c) giving detailed advice to the client in relation to a decision on early withdrawal of accrued benefits from the MPF System; or
(d) giving detailed advice to the client in relation to a decision as to the amount of any voluntary contributions to be paid into the MPF System.

根據積金局指引，如銷售或推銷程序涉及下列各項之其中一項或多於一項，需進行適合性評估：

- (a) 發出邀請或誘使特定客戶作出關乎某一特定成分基金的選擇；
(b) 向指定客戶提供作出關乎某一特定成分基金的選擇的受規管建議；
(c) 向客戶提供有關從強積金制度提早提取累算權益的決定之詳盡建議；或
(d) 向客戶提供有關向強積金制度注入多少自願性供款的決定之詳盡建議。

- ☐ Not Applicable. None of the above circumstances is involved or the customer does not agree to provide the information required for suitability assessment. 不適用，不涉及任何上述情況或客戶不同意提供進行適合性評估所需的資料。

(Proceed to Section F. 下往 F 部。)

- ☐ I understand the result of Suitability Assessment Questionnaire is for my reference only. The information provided should not be relied upon when making any investment choices for MPF account(s). The final decision of any investment choices is mine.

本人明白適合性風險評估問卷之結果只供本人參考用途。本人不應該依靠該等資訊作出強積金賬戶之投資選擇。而所有投資選擇的最終決定均由本人作出。

(Attach a completed Suitability Assessment Form. 連同已完成的風險適合性評估表格一併遞交。)



F. Activities Involved 所涉及之活動

1. The reason(s), as presented to the client (if applicable), for choosing the Service Provider (MPF) (please choose the reason(s) below by ticking the appropriate box(es) (can tick more than one box or, if none of the prescribed boxes is appropriate or if elaboration is required, please fill in reason(s) under (d):

選擇該強積金供應商計劃之原因（如提供予客戶（如適用））（請於下列適當選項前的方格內加上「✓」號（可選擇多項，或若既定之選項不適用或有陳述需要，請在(d)項之後填寫原因）：

(a) Products 產品

- ☐ a. fund choice variety 基金選擇種類
☐ b. multi-manager investment approach 多元經紀投資策略
☐ c. competitive management fees 具競爭性之管理費

(b) Service 服務

- ☐ a. flexibility in fund switching / change of investment instruction (such as same day dealing) 基金轉換/更改投資指示之靈活性(如同日交易)
☐ b. website / IVRS 網站/互動語音系統
☐ c. mobile apps / SMS / email 移動設備程式/短訊/電郵
☐ d. others, please specify 其他（請註明）：_____

(c) Others 其他

- ☐ a. For ease of administration as client is an existing member of a service provider scheme 為方便行政，客戶是該強積金供應商計劃之現有成員
☐ b. Service support from relevant channel(s) 從有關渠道得到服務支援

(d) Others (as specified) 其他（請註明）：

2. When other advice given, please fill in details below.如提供了其他意見，請於以下填寫詳情。

G. Personal Information Collection Statement 收集個人資料聲明

The information contained herein may be accessed and / or handled by their respective properly authorised service providers and agents, and may be used, disclosed and / or transferred (whether in or outside Hong Kong) to such persons as ZUU Digital Financial Services Limited, or any of their respective service providers may consider necessary, including governmental authorities and regulators, for any of the following purposes: (i) exercising or performing the functions conferred or imposed by or under or for the purposes of the Mandatory Provident Fund Schemes Ordinance ("Ordinance"); (ii) providing Mandatory Provident Fund services including the processing, administering, managing, and analysing of their, as the case may be, contributions, accrued benefits and portfolios and direct marketing of Mandatory Provident Fund services; (iii) improving the provision of Mandatory Provident Fund services to customers generally; (iv) compliance with applicable laws and regulations, and court order and / or (v) any other purposes for the exercise or performance of the above mentioned functions.

資遇數字金融服務有限公司及彼等各自之適當授權之服務供應商及代理商可查閱及/或處理本確認書所載之資料及其任何服務供應商認為有需要時，或會（在香港境內或境外）被使用、披露及/或轉移予個別人士，包括政府機關及監管機構作以下列任何之目的：(i)行使或執行強制性公積金計劃條例（「條例」）下所授予或施加之職能或根據該條例的目的而行使或執行職能；(ii)提供強制性公積金的服務，包括處理、掌管、管理及分析供款、累算權益及投資組合以及直銷強制性公積金服務（視乎情況而定）；(iii)改善資遇數字金融服務有限公司提供予客戶一般之強制性公積金服務；(iv)遵守適用之法律及規例及法院命令及/或(v)任何以行使或執行上述職能作目的之用途。

You have the right to request access to and correction of any personal data or to request that personal data about them not be used for direct marketing purposes. Requests can be made in writing to the Data Protection Officer at Room 1309, Capital Centre, 151 Gloucester Road, Wanchai, Hong Kong.

閣下有權要求查閱及更改任何個人資料或要求個人資料不被用作直銷之用。請以書面聯絡資遇之資料保護主任，香港灣仔告士打道 151 號資本中心 1309 室。



H. Signature 簽署

By signing this form, I confirm that the information, answers and/or Confirmation given in this form and its attachment are correct and complete and I understand and agree to the terms of the Personal Information Collection Statement set out under G above.

簽署本確認書即表明本人確認本確認書及其附件所提供之資料，回答及/或確認正確且完整，本人理解並同意載於上文 G 部之收集個人資料聲明之條款。

I have received the Information Leaflet and accept the contents there when giving the above mentioned instruction(s) or submitting the relevant application(s).

本人已接獲資料單張並於作出上述指示或提交相關申請表時已接受其中所載之內容。

I have received a copy of the latest version of the offering document, and was advised to read carefully and understand the information contained therein prior to making the transfer and any other key MPF decision.

本人已收到發售文件之最新版本，且獲得建議，於作出轉移及任何其他重要強積金決定之前應仔細閱讀並理解其中所載之資料。

I have been advised that I will, as soon as practical, receive a copy of all signed application forms and that, generally speaking, the forms will be passed on to the relevant trustee for processing within 3 working days.

本人亦得知本人會，在可行的情況下，盡快收到所有已簽署的申請表之副本，已被通知有關申請表一般來說將於三個工作天內提交給有關受託人處理。

- ☐ The registered intermediary has explained the Guide to Transfer Benefits under ECA (a copy of which has also been provided to me) to me and I fully understand the explanation.

註冊中介人已向本人解釋僱員自選安排權益轉移指南（已向本人提供其副本）之詳情，且本人完全理解其解釋。

X _____

Signature of Client 客戶簽署
(with Company Chop for Representative of Employer
僱主代表需連同公司印章)

_____ Date 日期

X _____

Signature of MPF Intermediary
強積金中介人簽署

_____ Date 日期

_____ Name of MPF Intermediary

強積金中介人姓名

_____ Name of Client 客戶姓名

_____ Code of MPF Intermediary

強積金中介人編號